

Government of Nepal
Ministry of Physical Planning, Works and Transport Management
Department of Road
Planning and Design Branch
Geo-Environment and Social Unit
Babarmahal Kathmandu, Nepal

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Road Sector Development Project (RSDPAF) WB Funded

External Monitoring of Social Safeguard Implementation
Final Report
December, 2012

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Acronyms

AP	Affected Person
ADB	Asian Development Bank
AF	Affected Families
CBO	Community Based Organizations
CDC	Compensation Determination Committee
CDO	Chief District Officer
CFC	Compensation Fixation Committee
CDF	Community development Forum
CDPR	Communicable Disease Poly-clinical Research
DDC	District Development Committee
DPSU	District Project Support Unit
EA	Executive Agency
ESMF	Environmental and Social Management Frame work
GESU	Geo-Environment and Social Unit, DoR
GoN	Government of Nepal
IA	Implementing Agency
IDA	International Development Association
LRO	Land Revenue Officer
MoEnv	Ministry of Environment
MoPPWTM	Ministry of Physical Planning, Works and Transport Management
PAF	Project Affected Families
PAP	Project Affected Persons
PIU	Project Implementation Un it
PRA	Participatory Rapid Appraisal
PSC	Project Supervision Consultant
RAP	Resettlement Action Plan
RIDP	Rural Infrastructure Development Project
RoW	Right of Way
RP	Resettlement Plan
RPF	Resettlement Plan Frame work
RRA	Rural Rapid Appraisal
RSDP	Road Sector Development project
SPAF	Seriously Project Affected Families
SRN	Strategic Road Network
ToR	Terms of Reference
VCDAP	Vulnerable Community Development Action Plan
VCDP	Vulnerable Community Development Plan
VDC	Village Development Committee
WB	World Bank

Executive Summary

The Government of Nepal (GoN) has received US\$75.00 million from the International Development Association (IDA) as credit and grant (Project ID P095977, Credit: H 4832 NEP & Grant Number: H629-NEP) towards the cost of Road Sector Development Project Additional Financing (RSDP AF). The additional financing will continue to support the two components of the project- a) Road Development Component (RDC) and b) Institutional Strengthening and Policy Reform Component (ISPRC). The main aim of the RSDP is, for the residents of beneficiary districts to have all-season road access thereby reducing travel time. This will help improve the access to economic centers and social services. The project targets five hill districts which currently lack all-season road access.

As per contract agreement, external monitoring conducted consultative meeting/ discussion with central level staffs of Geo-environment and Social Unit (GESU), Project Coordination Unit, Project In-Charge (PIC) of DoR, Consultant Team and Contractors. Participatory methods were used for collecting qualitative and quantitative data including available secondary data and primary data. The external monitoring is based on observation interviews, group discussions, consultations with applying probing technique. The limitation of external monitoring is inadequate time and budget. So this study/ monitoring has been done in short period and limited to road alignment/COI.

As per Environment & Social Management Framework (ESMF) for social safeguard compliances- Resettlement Action Plan (RAP) and Initial Environment Examination (IEE) has been approved and implemented effectively. The compensation progress is found as land- 97%, structure- 80%, R & R assistance 95% and SA 33% in GD. Similarly, land-96%, Structure-5% R & R 4.35% and SA 29.31% in KC, but the tree compensation in both roads is not started yet. In the case of deed transfer, KC achieved very good Percent (95%) but GD got only (31%).

In terms of monitoring part GESU has conducted preparedness check and Compliance monitoring of both road on twice and has provided guidance on environmental and social safeguard measures and prepared preparedness check / compliance reports with findings and suggestions. The separate monitoring reports received from Supervision Consultant (SC) as part of monthly progress report.

Resettlement/compensation payments were found recorded properly at the Project In-charge office and proper handling of grievance and disputes with the help of social mobilizer. Management of labor camp seems satisfactory, but use of construction safety equipments and regular health checkup of labor was unsatisfactory.

One center level and two regional levels Awareness Development program on HIV/AIDS has been conducted by Communicable Diseases poly Clinical Research (CDPR) on behalf of GESU and the awareness campaign at community/labor group is ongoing through CDF/CDPR. The labors that were participated in the program, they expressed that they had gained some knowledge which enhanced their awareness level about HIV/AIDS and had started using contraceptives (condom) for precaution. The labor who were not involved in

awareness campaigns were found that they were unaware and hesitate about it. The participation of women in awareness campaign was less due to few women labor involved in construction works.

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1. Introduction

1.1 Background

The Government of Nepal (GoN) has received US\$75.00 million from the International Development Association (IDA) as credit and grant (Credit NoH4832 Grant No: H629 -NEP) towards the cost of Road Sector Development Project Additional Financing (RSDP AF) The additional financing will continue to support the two components of the project- a) Road Development component (RDC) and b) Institutional Strengthening and Policy Reform Component (ISPRC).

Under the RSDP (AF) it is purposed to carry out the improvement works for five roads in far west and mid west. Environment and social services for the resident of beneficiary of districts- Baitadi, Bajhang, Darchula, Surkhet, Dailekh, Kalikot, Jumla, Jajarkot, Salyan and Rukum

Table No: 1 RSDP (AF) roads

S.N	Name of Roads	Km
1.	Gokuleshwor- Thaktholi- Darchula (GD)	73
2.	Kalangaguard Bridge – Chainpur (KC)	50
3.	Khidkijyula- Manma-Jumla	106
4.	Chinchu-Devisthal- Jajarkot	81
5.	Sitalpati-Musikot- Rukum	86

Objective of the Study

- To review and verify the progress in resettlement implementation as outline in the RAP
- Review results of internal monitoring and verify claims through checking the field level to assess whether land acquisition / resettlement activities have been generally met. Involve the affected people and community groups in assessing the impact of land acquisition for monitory an evaluation purpose.

2. Methodology

To fulfill above objectives the following methodology was adopted for study. This study was conducted in two phase, in first phase the field visit and study were conducted of GD & KC road and submitted the draft report and in second phase, the field visit and study will be conducted of KMJ,SM & CJ road and submit draft

report. Final report will be submitted after the incorporation of respected comment by GESU and World Bank. Details about work schedule is attached in (annex 1)

Desk Study

During the desk study, meeting with central level staffs of GESU and RSDP/DoR was conducted and following documents were collected and reviewed which were relevant for social safeguard monitoring process and prepared field check list.

- Consult with concern team and conduct meeting at central level
- Review of relevant documents (IEE, RAP, Land acquisition Act, internal monitoring report and monthly progress report)
- Environmental and social management Framework
- Preparation of field checklist

Field Study

A participatory method was conducted for collecting primary and secondary data. During the field visit consult with PIC, RE, ARE Contractor, labors group and concern stakeholder to know about project status and challenges. Key informant interview, observation and group discussion were conducted and collection information. In addition the field level observation, interview, randomly checking labors and contractor's level of awareness about HIV/AIDS. Cross checking method used to know about actual and difference on male and female wage rate in similar nature of work. Community meeting /interactions with local people including Project affected persons. Field observation has been done to find status of social safeguard implementation and collection of grievances and its verification.

Limitation of Study

The limitation of external monitoring is inadequate time and budget. So this study/monitoring has been done in short period and limited to road alignment/COI and compensation payment progress, wage of labor, use of child labor, health safety of labor and good practices of this projects. The monitoring works is divided into two phase on the basis of far west and mid west roads. This draft monitoring report is limited to GD & KC road sections of far west.

3. Social Safeguards Compliance

Social and Resettlement component is major part of the project. To address this component Initial Environment Examination (IEE), Social Impact Assessment (SIA) and Resettlement Action Plan (RAP) had been prepared at design phase. At this moment the project is in implementation phase so the social safeguards compliances are discussed as below;

Implementation of RAP

As per ESMF the implementation of RAP was started before construction work and it is ongoing. The RAP implementation of both roads was in effective and settled smoothly. PIC is the key person to implement RAP with the help of CDC, Social Mobilizer and Social Development Expert (SDE) plays vital role to implement the RAP. The strategy- door to door compensation payment system helps for its effectiveness. Affected details of RAP in tabulated form

Table No: 2 List of Affected Details

S.N	Road	Affected HH	Total Loss			Total Affected Trees	SA	R&R	Total Compensation Amount
			Plots	Land	Structure				
1.	KC	624	1256	1247	19	499	58	19	
2.	GD	267	429	404	25	2563	9	25	

Source: RAP

Resettlement and Rehabilitation

Resettlement and rehabilitation is major work for road project. Cash compensation was provided to Affected Persons (APs) as part of resettlement and rehabilitation works. During the time of field visit the compensation progress achieved more than 95 % in land (GD/KC) and for structure 80% (GD) and 5% (KC). Regarding the deed transfer KC has achieved 95% but in comparison KC to GD has got only 31%. Remaining progress rate in compensation payment status is being delayed due to legal obligation. Apart from compensation, R & R assistance (displacement allowance), business displacement allowance and supporting allowance (for only vulnerable group) were provided to APs. The road wise details are given below in tabulated form.

Table No: 3 Compensation Payment Statuses

S.N.	Road	Compensation Payment Status			4.R & R	5. S. A.	Total Release amount for compensation	Total Paid Compensation Amount (1+2+3+4+5)	Progress (%) in Amount	Deed Transfer (%)
		1.Land	2.Structure	3.Tree						
1.	KC	1162	1	1	1	17		51171600		95
2.	GD	390	20	0	19	3		475671811		31

Source: During field visit December, 2012

Health and Safety

Health and safety of labor is essential in construction works. Construction safety equipments (helmets, boots, glove & mask), drinking water facilities, sanitation, first aid box, provision of simple health checkup and insurance come under this heading and it is pay item and included in BOQ. The contractor has provided drinking water, sanitation and insurance facilities but construction safety equipments (helmets, gloves, boots) were not sufficient in number. Labors were provided treatment while they were sick but not provided their monthly regular health check up even if it was written in contract document.

Work and Labor Camp Management

Generally temporary plastic tent and local houses were rented for work/labor camp. Campsite (work/labor) management is seems good. Separate labor camp and toilet for male and female have been provisioned in both roads (GD/KC). Contractor provided sanitation facilities such as drinking water, toilets and sufficient accommodations.

Wages

In terms of wages rate between male and female in similar nature of work was found same and it was equivalent as district rate

Employment Generation & Increase Income

Due to construction activities more people (locals/outside) has got opportunity in construction sites as labors skilled/unskilled. The locals nearby the area have also got opportunity in increase business-teashop, grocery, small hotel for lodging and foodstuff and small shop. This helps them and uplifts their income level and also reduces in out migration for job. In total around 1000 labors were involved every day in GD & KC roads. The labors were mobilized in mixed groups (male & female)

persons by the contractors. **From the period of project starting to now total 14300 numbers of people were involved as labors, out of them 3575 women were involved (locals/outside).** The involvement of women worker (locals/outside) was found less than 33 % as Nepal government standard.

A. Table No: 4 Involvement of labor during field visit Dec, 2012

Name of Road	Female	Male	Child labor	Total
Gokuleshwor-Darchula	10	250	0	260
Kalangagard-Chainpur	7	320	0	327

Awareness Development Campaign on HIV/AIDS & STI

One central level and two regional levels Awareness Development program on HIV/AIDS has been conducted by Communicable Disease Poly-clinical Research (CDPRC/CDC) on behalf of GESU and the awareness campaign at community/labor group is ongoing through Community Development Forum (CDF)/ (CDPRC/CDC). The labors that were participated in the program, they expressed that they had got some knowledge which enhanced their awareness level about HIV/AIDS and had use contraceptives (condom) for precaution. The labor who were not involved in awareness campaigns were found that they were unaware and hesitate about it. The participation of women in awareness campaign was less due to few women labor involved in construction work. The details about center/regional level workshop and awareness campaign of GD are in tabulate form. In the case of KC road it will start near soon.

Table No: 5 Central Level Awareness Developing Workshop on HIV/AIDS at Hotel Annapurna in July, 2012

S.N	Name	Designation	Office
1.	Dinkar Sharma	DG	DoR
2.	Yogendra Kumar Rai	DDG	DoR/FBC
3.	Bishnu Om Bade	DDG	DoR
4.	Dr K. K. Rai	Director	NCASC
5.	Pramila Bajracharya	PM/ACQMP	DoR/ACQMP
6..	Deepak Man Singh Shrestha	Senior Transport Specialist	WB
7.	Purna Siddhi Lal Shrestha	Unit Chief	DoR/GESU
8.	Ajay Kumar Mul	PC	DoR/PCU
9.	Saroj Man Pradhan	Unit Chief	DoR/HMIS

10.	Mukti Gautam	PD	DoR/Postal road
11.	Gambhir Man Shrestha		DoR/
12.	Sanjay Shrestha	PIC/Division Chief	GD/DRO Baitadi
13.	Bed Kantha Yogal	PIC	KC road section
14.	Deepak KC	PIC	CJ road section
15.	Shir Bahadur Ghimire	PIC	KMJ
16.	Shiva Nepal	PIC	Sm road
17.	Meera Joshi	Environmental Expert	DoR/GESU
18.	Rama Shrestha	Social Development Expert	DoR/GESU

Table No: 6Regional Level Awareness Developing Workshop on HIV/AIDS at Surkhet & Baitdi in September, 2012

S.N	Name	Designation	Office
1.	Purna Siddhi Lal Shrestha	Unit Chief	DoR/GESU
2.	Meera Joshi	Environmental Expert	DoR/GESU
3.	Rama Shrestha	Social Development Expert	DoR/GESU
4.	Shir Bahadur Ghimire	PIC	KMJ Road
5.	Deepak KC	PIC	CJ Road
6	Shiva Nepal	PIC	GD Road
7.	Bed Kantha Yogal	PIC	KC Road
8.	Sanjay Shrestha	PIC/Division Chief	GD/DRO Baitadi
9.	Birendra Ku. Singh	RE	CJ road
10	Puskar Regmi	RE	KMJ road
11.	Kamal Sakya	RE	SM
12.	Badri Karki	SDRE	Consultant/TL
13	Lalit Joshi	EDE	Consultant/TL
14.	Suvash Ghimire	SM	SM road
15.	Subarna Chand	SM	GD road
16.	Harka Dhami Singh	SM	KC road
17.	Ishwor Pd.Khatiwada	SM	KMJ road

18.	Mukunda Dahal	CM	SM road
19.	Kalidas Joshi		CDF
20.	Ram Pd.Yadab	CM	PS goldengood
21.	Binod K Gautam	CM	Bajraguru Diwa
23.	Bipin Sakya	ARE	KC road
24.	Gayandra ghimire	ARE	GD road
25.	Suman Gautam	ARE	SM road
26.	Binod Timilsiena	SI	
27.	Dangi Shrepa	SI	GD 1 A

Table 7: Status on HIV/AIDS orientation at local level

SN	Sites	Date of Orientation	Number of Participants					
			F	M	D	J	O	Total
1	Sankarpur, Camp no. 3, Pasti, Darchula	14/6/2069	3	25	0	25	3	28
2	Sankarpur, Camp no. 3, Dhangadi, Darchula	15/6/2069	0	0	1	15	6	22
3	Dhap VDC, Ward no. 5, Camp no. 4, Dhap, Darchula	16/6/2069	0	19	0	18	1	19
4	Khera Bagar, Camp no. 4, Darchula	17/6/2069	3	0	0	14	10	24
5	Thaligad, Darchula	18/6/2069	1	17	0	16	0	18
6	Malikajun VDC, ward no 1, Terse Lek, Darchula	19/6/2069	3	22	5	9	11	25
7	Panibaj, Darchula	20/6/2069	2	28	0	17	11	30
8	Gyani VDC, ward no 1, Panibaj, Darchula	21/6/2069	3	23	0	13	10	26
9	Bagaure, Chaki Bazar, Darchula	22/6/2069	0	22	0	15	7	22
Total:			15	156	6	142	59	214
<i>Legend: F - Female, M- Male, D- Dalit, J- Janjati, O - Others</i>								

Source: Monthly progress report- October, 2012

Initiation of good practices at community level

The project has done some work for community as good practice in both roads (GD/KC). Construct many irrigation canal, playground for school (Jalpa higher secondary school) access road for school (Garjepani higher secondary school) and compound wall for Bhajhang Dip Campus at Jhota are exemple of good practice at KC road. Similarly, construct retaining wall to support Malikarjun temple, protection of well by stone masonry wall at Terselek and plain land from rock face for

agriculture building, access road for school (Sankharpur higher secondary school) are example of good practice in GD road.

4. Critical Social issues

During the field visit there were found some issues which was very critical. Following issues are listed below:

- Most of the labors were not wearing construction safety equipment while in working time nor storage at contractor's camp
- Wage rate was lower than district rate
- Regular health check up was not found though it was in contract document
- Involvement of women were lower in labor and leading group
- Local involvement were also lower
- Compensation for structure, R & R assistance and Supporting Allowance in KC, still has not done
- Distribution of Supporting Allowance and Deed transfer work at GD has gone very slowly.
- Tree compensation was not started yet while it was complete verification process.
- Many accidents was occurred in both road(which was written in daily news paper many time) due to many loops, narrow width (less than Nepal road standard) lack of passing zone, heavy vehicle was plying beyond the capacity of road and immature driver/not aware about traffic rules.

5. Monitoring & Evaluation

Internal monitoring is carried out by MoPPWTM, GESU, PCU, PIC and SC in regular basis, annually, bio-annually and monthly. During the cunsultation meeting in center level and field visit, MoPPWTM has not done monitoring yet. GESU has monitored bio-annually(Prepradeness/Compliance check) in regular basis with the help of IND. N Social Development Consultant on social safeguard compliances.

Internal monitoring was foused on verification of property valuation and information dissemination and consultation with affected persons, compensation process, grievance & awareness in HIV/AIDS. But it has not done in time due to lack of separate field vehicle and due payment.

PIC and Resettlement Specialist from monitoring and supervision consultant has done regular monitoring in monthly basis. During the field visit it was observed that recommendation by GESU were followed by the contractor such as probhition of

child labor, provision of drinking water and toilet facilities, equal wages to male and female and filled gesu standard format for prepradeness/compliance check .

6.Output

After upgrade of road there were seen many changes/outcoms in district which are listing below.

- Public trasportatin were plying all season.
- save travel time.
- Decerease rate in daily uses goods.
- Open new market and increase hotel, food stuff and small shop.
- Increase employment oppurtunities and increase local economy.
- Open purchase center for herbal product zactropa (Sajeebini) at Gokuleshwor& Bhandari gaun (Rayal)

7. Recommendations

- Compensation for structure should disburse as soon as possible
- Disbursement of R & R assistance and supporting allowance.
- Tree compensation should start while release budget
- Deed transfer work should be done and coordination with concern line agencies.
- Regular monthly health check up of labor should done
- Locals and women involvement in construction work / implementer leading group need to compulsory and mandatory at least government standard.
- HIV/AIDS awareness campaign should be done separately- male/female group. Instructor should be female for female labor group
- Road safety sign and signal should be placed in necessary place.
- Awareness program for traffic rules to driver, road user (locals)
- There should keep provision of age barrier of driver and age should be above 25 years for RSDPAF(hilly) roads
- Increase external budget/time for detail external monitoring.
- Last but not least, the program for income generation to locals and promotion of local products should provide parallel to road construction work.

Annex -1

Work Schedule

Work Schedule for External Monitoring of RSDPAF December to January

S.N	Description	Month							
		December 2012				January 2013			
		1	2	3	4	1	2	3	4
1	Review of relevant documents								
2	Preparation of field checklist								
3	Consult with concern team								
4	Field Visit site (GD & KC)								
5	Preparation of draft report (GD & KC)								
6	Submission of Draft (GD & KC) report								
7	Second filed visit								
8	Preparation of draft report (KMJ,SM & CJ)								
9	Submission of Draft (KMJ,SM & CJ)report								
10	Submission of final report								

Annex – 2

Field Photograph



Cultivated land at Bhajhang



Discussion with affected persons at Bhandarigaun



With Contractor ARE & LSM at Bhajhang



Interaction with labour group



Zetropa seed purchase centre at Gokule



Interaction with contractor team at GD



Construct Playground for Jalpa Higher Secondary School as a example good



Construct Irrigation canal for locals as a example of good practice



Save cultural sites demanded by people as exemple of good practice at



Compound wall for Campus at Jhota



Labor camp



Toilet for labor



With PIC of KC road at



With ARE and LSM at ARE
office at GD road



View of GD road

Annex -3

Field checklist

Field checklist for external monitoring for social safeguard implementation of RSDPAF December, 2012

1. When GESU team visited in field?
2. Is field visit sufficient by GESU?
3. Are contractors or others stakeholders followed the feed- back of GESU and other monitoring team?
4. Are all project affected Persons got compensation of house, land and tree?
5. If not what are the causes?
6. Are Contractor / Consultant team filled the standard format for preparedness /compliance check provided by GESU?
9. What about HIV/AIDS awareness development campaign?
10. How many people get employment through this project/road?
11. How many labours are involved in construction work per day?
12. How many men and women are involved in construction work?
13. Is there separate labour camp for men and women?
14. Are there sufficient water and toilet facilities?
15. Is there separate toilet women?
16. Is there provision of monthly health check up for labour group?
17. How much time do you involve in construction work?
18. What is the wage rate for skill & unskilled labour?
19. Are local people involved in construction work?
20. What are major change after improve road?
21. What your opinion for income generation and local product promotion programme?

Annex -4

List of Contact Persons

Name list of contact persons:

S.N	NAME	POSITION	ROAD/Office	REMARKS
1	Purna Siddhi Lal Shrestha	Unit Chief	GESU/DoR	
2	Ajay Kumar Mul	PC	RSDP/DoR	
3	Bed kantha Yogal	PIC	KC	
4	Sanjay Shrestha	PIC/ DRO Chief	GD/ Baitadi	
	Meera Joshi	IND.N Environmental Development Consultant	GESU/DoR	
	Rama Kumari Shrestha	IND.N Social Development Consultant	GESU/DoR	
5	Raj Bahadur Khadaka	RE	KC	
6	Dipendra Upreti	QCE	KC	
7	Haraka Sing Dhami	Social Mobilizar	KC	
8	Keshab Raj Acharya	RE	GD	
9	Rohit Acharya	ARE	GD 1B	
10	Gayandra Ghimire	ARE	GD 1 A	
11	Pradip kumar Panta	QCE	GD2A/B	
12	Ashim Ghimire	ARE	GD2A	
13	Khancha Bhai Shrestha	CM	KC 3B	
14	Sunil Dhungana	DCM	KC 3C	
15	Amit Shrestha	CM	GD2A	
16		site In-charge	GD2B	
17	Subrana Chand	Social mobilizer	GD	