

Government of Nepal  
Ministry of Physical Infrastructure and Transport  
Department of Roads  
Nepal India Regional Trade & Transport Project (NIRTTP)  
Geo-Environment and Social Unit,  
Notice No. 01/072/73

**Expression of Interest**

(Date of first Publication: 18<sup>th</sup> October, 2015)

The Government of Nepal , Ministry of Physical Infrastructure and Transport, Department of Roads has received a credit from the International Development Association (IDA) and intends to apply part of the proceeds of this credit to payments under the contract for Nepal India Regional Trade & Transport Project , Institutional Strengthening Component.

The service includes providing consultancy services: **TECHNICAL SUPPORT TO GESU FOR THE IMPLEMENTATION OF SOCIAL SAFEGUARD** with frequent visit to road construction sites. The duration of the services is 24 months.

The Government of Nepal, Ministry of Physical Infrastructure and Transport, Department of Roads now invites eligible individual consultant (Social expert) to indicate his/her intent in providing these services. Interested consultant must provide information indicating that he/she is qualified to perform the services which includes; assist GESU for overall implementation of Environmental and Social Management Framework (ESMF) and other GESU related assignments successfully completed. The individual consultant must at least possess a Master's Degree in Social subject with minimum 5 years of experience in preparation, execution, monitoring and evaluation of Social Assessment (SA), Resettlement Action Plan (RAP), Social Action Plan (SAP) and Vulnerable Community Development Plan (VCDP) as per Sector wide ESMF of DoR.

A consultant will be selected in accordance with procedures set out in the World Bank's Guidelines: Selection and Employment of Consultants by World Bank Borrowers Jan 2011, revised 2014.

Interested consultants may obtain Terms of Reference for the mentioned job from Notice Section of [www.dor.gov.np](http://www.dor.gov.np)

Expressions of interest must be delivered to the address below by 5.00 PM, 16<sup>th</sup> November, 2015

Unit Chief

Government of Nepal  
Ministry of Physical Infrastructure and Transport  
Department of Roads  
Planning and Design Branch  
**Geo Environment and Social Unit**  
Babarmahal, Kathmandu, Nepal

**Terms of Reference  
For  
Individual Consulting Service  
For  
Technical support to GESU for the implementation of Social  
Safeguard**

Oct, 2015

## **Terms of Reference for the technical support for the implementation of social safeguard in DoR**

### **1. Background**

Department of Roads established a unit called Environmental Management Unit under Planning Branch in the year 1988 to support the Arun Access Road for the proposed Arun-III Hydropower Project and reformed as a permanent cell under Planning and Design Branch with a new name as Geo-Environmental Unit (GEU) in the year 1994. In the beginning from 1994 to 1999 the unit remained supported with Overseas Development Agency (British) and World Bank financial support for Road Maintenance and Rehabilitation Projects. The British support was mainly focused in DoR's capability building and strengthening in Bio-engineering area whereas World Bank's support was focused in the field of Environmental sector. Road construction often produces adverse effects on the Bio-physical as well as the Social, Economic and Cultural Environments. Realizing the importance of Social analysis and participation of project stakeholders in the planning and implementation of projects, aspect of Social assessment was incorporated for the improved project efficiency, effectiveness and sustainability as an integral part of the planning process.

Latter in the year 2005, DoR in its Master Plan for Strategic Road Network stressed on the Development of Road to supplement Poverty Reduction Program. After the initiation of implementation of enhancing poverty reduction impacts in some road projects in 2006, assisted by the foreign donors like ADB, the role of GEU was further realized to be expanded to incorporate the Social aspects of road construction and maintenance. Thus DoR has reformed GEU as Geo-Environment and Social Unit (GESU) to cover the Social aspect and render prompt and efficient services with the development and strengthening of the roads.

### **2. Objectives**

**Environmental and Social impact assessments** on road development project are imperative to safe guard the Environment and to reduce and mitigate the negative impacts as well as to identify and enhance the beneficial ones. The sector wide Environmental and Social Management Framework (ESMF) has been prepared and approved by the government. It is the major guiding document for all the project proponents, developers and implementers to refer and pursue during planning and implementation of strategic road projects in Nepal. The ESMF which is consistent with GON and applicable IDA policies is to be used to all projects/ subprojects through all cycle i.e screening, selection, planning, implementation, monitoring and evaluation. The ESMF provides outlines how the government and IDA policy requirements are applied in the road projects along with various steps and procedures that need to be followed at different stages. The ESMF requires that each funding request / proposal be subject to environmental and social screening for potential environmental and social impacts to decide the level of environmental and social investigation that may be required. The ESMF provides criteria for such screening. The ESMF also recognize the need for stronger and independent monitoring of Social compliance in the field. RSDP's institutional strengthening support also covers Social capacity building of the GESU.

Social and environmental safeguard implementations are integral part of project execution. This should be carried out with the objective of sustainable development. The basic tenet of this consultancy service is to ensure that the Social safeguard measures specified in ESMF in general and road specific measures in particular are complied with; and standard of living of affected people are restored or improved, all

the resettlement and compensation measures are applied in practice, and all environmental mitigations measures are implemented.

At nutshell, the objective of this consultancy service is to provide support GESU for the implementation of the Social safeguard measures in SRN of DoR.

### **3. Scope of Work**

With the all above set objectives, the **Social Officer**, whose work station shall be in DoR provided office space, chakupat is required to assist GESU carrying following responsibilities to:

- Develop and refine procedures for the preparation, execution, monitoring, and evaluation of Social Assessment (SA), Resettlement Action Plans(RAP), Social Action Plan (SAP) and Vulnerable Community Development Plan (VCDP) as per Sector wide ESMF of DOR and DOR's previous Projects viz RMDP
- Review and refine TOR to carry out Social Screening, Social Assessment (SA) and to prepare RAP/SAP, VCDP in consistent to the ESMF
- Review ongoing ToR, IEE and EIA reports, RAPs/SAPs, SSEMAs, VCDPs and provide comments and suggestions to GESU for improvement
- Check BOQs, Bids/Contract clauses and other document for incorporation of relevant mitigation measures specified in IEEs, EIAs and RAPs/SAPs, SSEMAs, VCDPs
- Develop and carry out Social monitoring and reporting system
- Undertake preparedness check and periodic compliance check Visit the project sites and work closely with the consultant, NGOs, contractors, communities and project staff; get relevant information on social issues and safeguard practices; maintain and update the information viz compensation, resettlement, rehabilitation assistances??.
- Monitor and evaluate the findings and recommendations, and also report, for the implementation of SA, IEE, EIA, RAP/SAP, SSEMAs, VCDP as relevant
- Assist GESU to Coordinate with other agencies towards raising awareness on social safeguard measures in road projects, disseminate information on Social Aspects of road development among various stakeholders viz donors, projects, government bodies, affected communities etc
- Assist GESU to perform the Social roles and responsibilities as mentioned in Project appraisal Document for NIRTTP. Assist GESU for overall implementation of Environmental and Social Management Framework (ESMF)

### **4. Necessary Expert**

The individual social consultant should have a minimum qualification of Masters' of Arts in Sociology, Anthropology and social sciences with practical experience in monitoring and evaluation. S/he should be familiar with computer (at least in Microsoft word, spread sheet, PowerPoint).

The monitoring Social Expert will have preferably 5 years of experience in social sector and at least 5 year experience in social assessment/monitoring and evaluation aspect of infrastructure projects /significant experience in resettlement policy analysis. Further, work experience and familiarity with all aspects of resettlement operations would be desirable. Preference shall be given to the candidate

experienced in road project with social mobilization aspects with intensive field visits. The consulting firm or NGO or any agency involved in any aspect of the project will not be eligible for the application.

#### **5. Time**

Consultant shall commence the work from the date of signing agreement. It is estimated that approximately **24 person-month** of Social officer will be required for carrying out the services. However, in the event of dispute or other proceedings during and or after completion of the construction works, the consultant shall be available to assist DOR, under the same conditions of engagement as applicable to this Agreement.

#### **6. Payment**

It is envisaged that the payment to the individual consultant shall be on the monthly basis, as a time based contract.

#### **7. Data and Assistance to be provided by the client**

The following shall be provided to the Consultant by DOR:

- Related reports available in DoR
- Access to all other necessary documents and data available in DOR required for the performance of the consultant's services.

The Consultant will also be provided an office space and office facilities inside DoR.

#### **8. Reporting**

The consultant shall keep complete records relating to all aspects of the work covered by his staff or service contract if any. Such records shall be available for inspection by GESU. In addition to the above, the Consultant shall submit the reports not limited to following during the course of services:

- Monthly progress report on overall Social safeguard implementation in Road projects
- Verification of integration of mitigation measures in BOQ and Contractual documents as per IEE/EIA, SSEMAP; for each road sub-project in the specified format Preparedness check
- Preparedness check
- Periodic compliance check
- Monitoring and Evaluation with findings and recommendations for the implementation of IEE, EIA, SSEMAP activities

#### **9. Presentation of the prepared documents:**

Social officer shall be responsible to present such reports to GESU, RSDP and World Bank as well; after their preparation. The individual Consultant shall present on overall status of the Social safeguard in Road work implementation as and when required to GESU or DoR, at least once in **six months**.